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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/373,333	
	Filing Date	August 12, 1999	
	First Named Inventor	Venkiteswaran	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	13	Attorney Docket Number	02-025630US

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ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>	  <div style="border: 1px solid black; padding: 5px; text-align: center;">           Receipt            acknowledgment            postcard         </div>
<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.			
<b>Remarks</b>			

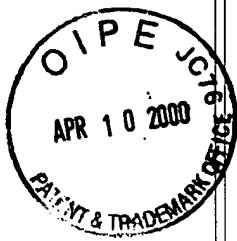
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	The Law Offices of Jonathan Alan Quine		
Signature			
Date	April 5, 2000		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **April 5, 2000**

Typed or printed name	Alexandra Allison		
Signature		Date	April 5, 2000



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Assistant Commissioner for Patents,  
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On April 5, 2000

The Law Offices of Jonathan Alan Quine  
By Alexandra Allison  
Alexandra Allison

Attorney Docket No. 02-025630US  
Client Ref. No. 0113.004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Venkiteswatan Subramanian, *et al.*

Application No.: 09/373,333

Filed: August 12, 1999

For: DNA SHUFFLING TO PRODUCE  
HERBICIDE SELECTIVE CROPS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner. Copies of the references are enclosed. As some of the references cited in the enclosed PTO1449 form are from the International Search Report dated January 28, 2000, for PCTUS99/18394, a copy is enclosed for your reference.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the

Application No.: 09/373,333  
Page 2

Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

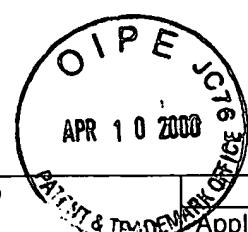
Respectfully submitted,



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Reg. No. 41,261

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JAQ:afa



Substitute for form 1449A-B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/373,333
		Filing Date	August 12, 1999
		First Named Inventor	Venkiteswatan Subramanian
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	02-025630US

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	AA	5,512,463		Stemmer	04-30-1996
	AB	5,514,588		Varadaraj	05-07-1996
	AC	5,605,793		Stemmer	02-25-1997
	AD	5,763,239		Short et al.	06-09-1998
	AE	5,789,228		Lam et al.	08-04-1998
	AF	5,811,238		Stemmer et al.	09-22-1998
	AG	5,814,473		Warren et al.	09-29-1998
	AH	5,830,696		Short	11-03-1998
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	AK	5,837,458		Minushull et al.	11-17-1998
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	AN	5,928,905		Stemmer, et al.	07-27-1999
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	AR	5,948,666		Callen, et al.	09-07-1999
	AS	5,958,672		Short, Jay M.	09-28-1999
	AT	5,958,751		Murphy, et al.	09-28-1999
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	AW	5,965,408		Short, Jay M.	10-12-1999
	AX	5,985,646		Murphy, et al.	11-16-1999

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APR 10 2000

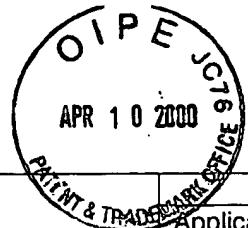
(Modified) PTO/SB/08A-B (10-96)  
Approved for use through 10/31/99. OMB 0651-0031

Substitute for form 1449A-B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)		Complete if Known	
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		Filing Date	August 12, 1999
		First Named Inventor	Venkiteswatan Subramanian
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	02-025630US

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number				
	AY	EP	0293358A	Monsanto Co.	11-30-1988		
	AZ	WO	92/06201	Monsanto Co.	04-16-1992		
	BA	WO	97/07205	Novo Nordisk A/S	02-27-1997		
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	BD	WO	97/35957	Maxygen, Inc.	10-02-1997		
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	BG	WO	97/48416	Recombinant Biocatalysis, Inc.	12-24-1997		
	BH	WO	97/48717	Recombinant Biocatalysis Inc	12-24-1997		
	BI	WO	97/48794	Recombinant Biocatalysis Inc	12-24-1997		
	BJ	WO	98/00526	Recombinant Biocatalysis Inc	01-08-1998		
	BK	WO	98/01581	Recombinant Biocatalysis Inc	01-15-1998		
	BL	WO	98/13485	Maxygen, Inc.	04-02-1998		
	BM	WO	98/13487	Maxygen, Inc.	04-02-1998		
	BN	WO	98/24799	Diversa Corp.	06-11-1998		
	BO	WO	98/27230	Maxygen, Inc.	06-25-1998		
	BP	WO	98/28416	Novo Nordisk A/S	07-02-1998		
	BQ	WO	98/31816	University of Minnesota	07-23-1998		
	BR	WO	98/31837	Maxygen, Inc.	07-23-1998		
	BS	WO	98/36080	Maxygen, Inc.	08-20-1998		

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		Examiner Name	Unassigned
		Attorney Docket Number	02-025630US

	BT	WO	98/41622		Novo Nordisk A/S	09-24-1998		
	BU	WO	98/41623		Novo Nordisk A/S	09-24-1998		
	BV	WO	98/41653		Novo Nordisk A/S	09-24-1998		
	BW	WO	98/42832		California Institute of Technology	10-01-1998		
	BX	WO	98/48034		Diversa Corp.	10-29-1998		
	BY	WO	98/54330		Zeneca Ltd.	12-03-1998		
	BZ	WO	98/58085		Diversa Corp.	12-23-1998		
	CA	WO	99/07837		Diversa Corp.	02-19-1999		
	CB	WO	99/07865		Kimeragen Inc.	02-18-1999		
	CC	WO	99/08539		Diversa Corp.	02-25-1999		
	CD	WO	99/10472		Diversa Corp.	03-04-1999		
	CE	WO	99/10539		Diversa Corp.	03-04-1999		
	CF	WO	99/19518		Diversa Corp.	04-22-1999		
	CG	EP	0911396A2		Maxygen, Inc.	04-28-1999		
	CH	WO	99/21979		Maxygen, Inc.	05-06-1999		
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	CJ	WO	99/23107		Maxygen, Inc.	05-14-1999		
	CK	WO	99/23236		Diversa Corp.	05-14-1999		
	CL	WO	99/25853		Pioneer Hi Bred Int.	05-27-1999		
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	CO	WO	99/41383		Maxygen, Inc.	08-19-1999		
	CP	WO	99/41402		Maxygen, Inc.	08-19-1999		
	CQ	WO	99/45154		Diversa Corp.	09-10-1999		
	CR	WO	99/57128		Maxygen, Inc.	11-11-1999		
	CS	WO	99/65927		Maxygen, Inc.	12-23-1999		

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